UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

LOUIS G. RASETTA and JOHN J. SHAUGHNESSY, as they are TRUSTEES, INTERNATIONAL UNION OF OPERATING ENGINEERS LOCAL 4 HEALTH AND WELFARE, PENSION AND ANNUITY FUNDS, and LOUIS G. RASETTA and CHRISTOPHER BARLETTA, as they are TRUSTEES, HOISTING AND PORTABLE ENGINEERS LOCAL 4 APPRENTICE AND TRAINING FUNDS and INTERNATIONAL UNION OF OPERATING ENGINEERS, LOCAL 4, Plaintiffs

vs.

PENNEY CONSTRUCTION CORP.,
Defendant

and

T.R. WHITE CO., INC.,

Reach-and-Apply Defendant

and

SOVEREIGN BANK,

Trustee

04-12463MLW

MAGISTRATE JUDGE

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COMPLAINT

NATURE OF ACTION

1. This is an action brought pursuant to §§502 and 515 of the Employee Retirement Income Security Act of 1974 ("ERISA"), as amended, 29 U.S.C. §§1132(a)(3) and (d)(1) and 1145 and §301 of the Labor Management Relations Act, 29 U.S.C. §185 by employee benefit

plans and a labor union to enforce the obligations to make contributions and pay interest due to such plans due under the terms of a collective bargaining agreement and the plans.

JURISDICTION

2. The Court has exclusive jurisdiction of this action pursuant to §502(a), (e) and (f) of ERISA, 29 U.S.C. §§1132(a), (e) and (f), without respect to the amount in controversy or the citizenship of the parties.

PARTIES

- Plaintiffs Louis G. Rasetta and John J. Shaughnessy are Trustees of the 3. International Union of Operating Engineers Local 4 Health and Welfare Fund. The International Union of Operating Engineers Local 4 Health and Welfare Fund is an "employee welfare benefit plan" within the meaning of §3(3) of ERISA, 29 U.S.C. §1002(3). The Fund is administered at 177 Bedford Street, Lexington, Massachusetts, within this judicial district.
- Plaintiffs Louis G. Rasetta and John J. Shaughnessy are Trustees of the 4. International Union of Operating Engineers Local 4 Pension Fund. The International Union of Operating Engineers Local 4 Pension Fund is an "employee pension benefit plan" within the meaning of §3(2) of ERISA, 29 U.S.C. §1002(2)(A). The Fund is administered at 177 Bedford Street, Lexington, Massachusetts, within this judicial district.
- 5. Plaintiffs Louis G. Rasetta and John J. Shaughnessy are Trustees of the International Union of Operating Engineers Local 4 Annuity Fund. The International Union of Operating Engineers Local 4 Annuity Fund is an "employee pension benefit plan" within the meaning of §3(2)(A) of ERISA, 29 U.S.C. §1002(2)(A). The Fund is administered at 177 Bedford Street, Lexington, Massachusetts, within this judicial district.

- Plaintiffs Louis G. Rasetta and Christopher Barletta are Trustees of the Hoisting 6. and Portable Engineers Local 4 Apprenticeship and Training Fund. The Hoisting and Portable Engineers Local 4 Apprenticeship and Training Fund is an "employee welfare benefit plan" within the meaning of §3(1) of ERISA, 29 U.S.C. §1002(1). The Fund is administered at One Engineers Way, Canton, Massachusetts, within this judicial district.
- The Health and Welfare, Pension, Annuity, Apprenticeship and Training Funds 7. are multi-employer plans within the meaning of §3(37) of ERISA, 29 U.S.C. §1002(37). They are hereinafter collectively referred to as "the Funds."
- 8. Defendant Penney Construction Corp. (hereinafter "Penney" or "the Employer") is a Massachusetts corporation with a principal place of business at 85 Charles Street, Bridgewater, Massachusetts, and is an employer engaged in commerce within the meaning of §3(5) and (12) of ERISA, 29 U.S.C. §1002(5) and (12).
- Reach-and-Apply Defendant T.R. White Co., Inc. (hereinafter "T.R. White") is a 9. Massachusetts corporation with a principal place of business at 368 Congress Street, South Boston, Massachusetts. Upon information and belief, Penney worked as a subcontractor to T.R. White on the Milton, Massachusetts project that is the subject of this suit. The Funds have a legal or equitable interest in any payments due Penney from T.R. White for work conducted on this or any other project.
 - Sovereign Bank, on information and belief, is holding assets of the defendant. 10.

GENERAL ALLEGATIONS OF FACT

On or about June 30, 1997, defendant Penney agreed in writing to be bound to the 11. terms of the Restated Agreements and Declarations of Trust establishing Plaintiff Funds, to the terms of collective bargaining agreements requiring contributions to Plaintiff Funds; and to any

successor agreements. A copy of Penney's signed agreement ("short form agreement") is attached hereto as Exhibit A.

- Because of the short form agreement, Penney has been a party to successive 12. collective bargaining agreements with the International Union of Operating Engineers Local 4, including the agreement which is effective from June, 1999 through May, 2005 ("the Agreement"). A copy of the relevant portions of this Agreement is attached hereto as Exhibit B.
- 13. The Agreement requires Penney to make contributions to Plaintiff Funds for each payroll hour for each person covered by the Agreement.
- 14. Pursuant to the Agreement, employers are also obligated to deduct and remit a negotiated percentage of the gross wage package, before taxes, for union dues.

COUNT I - VIOLATION OF ERISA -DELINQUENT CONTRIBUTIONS

- Plaintiffs incorporate by reference each and every allegation set forth in 15. paragraphs 1-14 supra.
- Using remittance reports, the Funds determined that Penney owes \$15,478.18 in 16. unpaid fringe benefit contributions and dues for work done on a Milton, Massachusetts project for the period August, 2003 through January, 2004.
- By letter dated September 2, 2004, the Funds' attorney sought payment of these 17. delinquent contributions and interest owed thereon. A copy of that letter is attached hereto as Exhibit C.
- To date, Penney has failed to pay the Funds the \$15,478.18 in contributions and 18. dues owed for the period August, 2003 through January, 2004. It has also failed to pay the interest owed.

- 19. The failure of Penney to make contributions on behalf of all covered employees as required by the terms of the Funds and the collective bargaining agreements violates §515 of ERISA, 29 U.S.C. §1145.
- 20. Absent an order from this Court, the defendant will continue to refuse to pay the monies it owes to the Funds, as determined at the audit, and the Funds and their participants will be irreparably damaged.
- 21. A copy of this Complaint is being served upon the Secretary of Labor and the Secretary of the Treasury by certified mail as required by §502(h) of ERISA, 29 U.S.C. §1132(h).

<u>COUNT II - VIOLATION OF LMRA -</u> <u>DELINQUENT CONTRIBUTIONS AND INTEREST</u>

- 22. Plaintiffs incorporate by reference each and every allegation set forth in paragraphs 1-21 supra.
- 23. The failure of Penney to make contributions on behalf of all covered employees and to pay interest as required by the terms of the collective bargaining agreement violates §301 of the Labor Management Relations Act, 29 U.S.C. §185.

COUNT III - VIOLATION OF LMRA DUES

- 24. Plaintiffs incorporate by reference each and every allegation set forth in paragraphs 1-23 <u>supra</u>.
- 25. Upon information and belief, Penney deducted dues from its employees' paychecks and failed to remit them to the Union.
- 26. The failure of Penney to remit the dues it deducted from its employees' wages as required by the terms of the collective bargaining agreement violates §301 of the Labor Management Relations Act, 29 U.S.C. §185.

COUNT IV - REACH-AND-APPLY AGAINST T.R. WHITE CO., INC.

- 27. Plaintiffs incorporate by reference each and every allegation set forth in paragraphs 1-26 <u>supra</u>.
- 28. Upon information and belief, Penney worked as a subcontractor to T.R. White on the Milton, Massachusetts project that is the subject of this suit. The Funds have a legal or equitable interest in any payments due Penney from T.R. White for work conducted on this or any other project.
- 29. Further, there is no known insurance available to satisfy the judgment the Funds will obtain against Penney.
- 30. The funds held by T.R. White cannot be attached or taken on execution except pursuant to G.L. c. 214, §3(6).

RELIEF REQUESTED

WHEREFORE, Plaintiff Funds requests this Court to grant the following relief:

- a. Order the attachment of the machinery, inventory and accounts receivable of defendant Penney;
- b. Order the attachment by trustee process of the bank accounts of Penney held by Sovereign Bank;
- c. Enter a preliminary and permanent injunction enjoining Penney from refusing or failing to make contributions and pay dues and interest to Plaintiff Funds;
- d. Enter judgment in favor of the Plaintiff Funds in the amount of \$15,478.18 plus any additional amounts determined by the Court to be owed by Penney or which may become due during the pendency of this action, together with interest on the unpaid contributions at the rate prescribed under §6621 of the Internal Revenue Code, liquidated damages in an amount

equal to 20 percent of the total of unpaid contributions or the total interest owed, whichever is greater, reasonable attorneys' fees, and costs, all pursuant to 29 U.S.C. §1132(g)(2);

- Enter a temporary restraining order against T.R. White and its agents, servants, e. employees, attorneys, and upon those persons in active participation or concert with them and those persons acting at their command who receive actual notices of this order by personal service or otherwise, and each and every one of them, from secreting, concealing, destroying, damaging, selling, transferring, pledging, encumbering, assigning, or in any way or manner disposing of or reducing the value of, or making any payment to Penney on account of sums that are due or will hereafter become due Penney from T.R. White; and
 - Such further and other relief as this Court deem appropriate. f.

Respectfully submitted,

LOUIS G. RASETTA and JOHN J. SHAUGHNESSY, as they are TRUSTEES, INTERNATIONAL UNION OF OPERATING ENGINEERS LOCAL 4 HEALTH AND WELFARE FUND, et al,

By their attorneys,

Anne R. Sills, Esquire

BBO #546576

Gregory A. Geiman, Esquire

BBO #655207

Segal, Roitman & Coleman

11 Beacon Street

Suite #500

Boston, MA 02108

(617) 742-0208

Dated: November 19, 2004

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AGREEMENT covering wages, working rules, and other conditions of employment entered into between Construction Conditions and International Union of Operating Engineers Local 4 and its Branches.

- 1. The Local is recognized as the sole and exclusive representative of the employees covered by this Agreement for the purpose of collective bargaining with respect to wages, hours of work, and other conditions of employment. The Employer shall not make any agreement in conflict with the provisions of this Agreement.
- 2. The Employer hereby adopts and agrees to abide by all of the terms and conditions of the Collective Bargaining Agreement now prevailing or as they shall prevail by and between Local 4 and the four Employer Associations hereinafter named.

The Associations are:

Labor Relations Division of Construction Industries of Mass. Associated General Contractors of Massachusetts, Inc. Building Trades Employers Association of Boston and Eastern Mass. Foundation & Marine Contractors Association of New England, Inc.

- 3. The Local agrees to comply with all union obligations contained in the aforementioned Collective Bargaining Agreements.
- 4. The Collective Bargaining Agreements between the Employer Associations and the Local provides, among other things, for contributions to the Health and Welfare Fund, Pension Fund, Apprentice and Training Fund, and Annuity Fund, and the Employer agrees to be bound by the terms of the respective Agreements and Declarations of Trust and designates as its representatives on the Boards of Trustees such Trustees as have been designated Employer Trustees in the manner provided in the Agreements and Declarations of Trust.
- 5. This Agreement shall be binding upon the Employer named herein, and its successors and assigns, and no provisions herein contained shall be nullified or affected in any manner as a result of any consolidation, sale, transfer, assignment, joint venture, or any combination or other disposition of the Employer.
- 6. The life of this Agreement is co-extensive with the several respective terms set out or as they shall be set out from time to time in the aforementioned Collective Bargaining Agreement with the named Associations, and shall continue in effect in each or any particular said contract instance, unless the Employer gives the Local notice of desired change or termination of a particular Collective Bargaining Agreement aforementioned in keeping with the applicable notice provisions contained therein. The Employer acknowledges and agrees to the continuity of Employer obligations on his part with respect to Health and Welfare, Pension, Apprentice Training and Annuity Trusts contained in said aforementioned Collective Bargaining Agreements pending negotiations of any new agreement by and between the Local and the aforementioned Associations and/or between the Employer and the Local.

WITNESS OUR HAND AND SEAL THIS 30	th DAY OF June, 1997
FOR THE EMPLOYER	FOR THE LOCAL
COMPANY Construction Carl.	BUSINESS MANAGER
85 Charles St. ADDRESS	FRESIDENT (Suffer)
CITY STATE ZIP	RECORDING SECRETARY
Harold H. Benney L. Gran BY TITLE	BODY ACCEPTS
508-697-3417	7/16/97
TELEPHONE NUMBER	EXHÇ BD RMC
	7/9/97

1999-2003 MASTER DOCUMENT with

CONTRACT EXTENSION to May 31, 2005

and
Provisions of the Agreements
between



INTERNATIONAL UNION OF OPERATING ENGINEERS Local 4 and its Branches and

Labor Relations Division of Construction Industries of Massachusetts

Foundation & Marine Contractors Association of New England, Inc.

Building Trades Employers Association of Boston and Eastern Massachusetts

Labor Relations Division of the Associated General Contractors of Massachusetts, Inc.

PART TWO MASTER DOCUMENT

Part Two - Article XI

HEALTH & WELFARE, PENSION,
ANNUITY, ANNUITY & SAVINGS
COOPERATIVE TRUST AND APPRENTICE
PROGRAM FUNDS

Section 1. Each Employer who is a party to this Agreement agrees to and shall pay and contribute an amount equal to that shown under "Schedule of Wages" in this Agreement to the following Funds:

- (a) International Union of Operating Engineers Local 4 Health and Welfare Fund, hereinafter referred to as the "Welfare Fund."
- (b) International Union of Operating Engineers Local 4 Pension Fund, hereinafter referred to as the "Pension Fund."
- (c) International Union of Operating Engineers Local 4 Annuity and Annuity & Savings 401(k) Fund, hereinafter referred to as the "Annuity and Annuity & Savings Funds."
- (d) Hoisting and Portable Engineers Local 4 Apprentice and Training Program, hereinafter referred to as the "Apprentice Program Fund."
- (e) International Union of Operating Engineers Local 4 Labor Management Cooperative Trust, hereinafter referred to as the "Cooperative Trust."

Section 2. The respective rates per hour as shown in the "Schedule of Wages" in the Agreement shall be paid for each payroll hour (with the exception of Annuity contributions, an overtime hour for this purpose shall be considered a single hour) and proportionately for each part of such an hour for each person covered by this Agreement and employed on construction projects on which the Employer shall be engaged or otherwise in the hire of the Employer. Overtime contributions to the Annuity Fund shall be paid at time and one-half for all classifications of overtime.

(a) Upon proper written authorization on a form furnished by the Local Union, the Employer may withhold from the employee's gross wage before any deduction for taxes, an amount as established from time to time by the Annuity Trustees, for deposit in the Annuity & Savings 401(k) Plan, a Retirement Plan intended to qualify under the Employee Retirement Income Security Act of 1974 (ERISA).

Section 3. On or before the tenth day of each month, the said payment shall be due and payable for all such payroll periods ending the next preceding month; but in the case of operations of less than a month's duration, or in the case of Employers who are repeatedly delinquent in payments, the payment shall be due weekly and payable within three (3) days after the end of the payroll week.

Section 4. Payments not received at the Fund Office by the last day of the month following the

month during which the work was performed will be assessed interest at the rate of one (1%) percent per month.

Section 5. The Employer agrees that the obligations to make payments shall be on a parity with and enforceable, with respect to each Fund, as the obligation to pay wages, and this inclusive of the priorities incident to and in proceedings for the relief of debtors; and this Article shall bind all legal representatives, successors, and assigns of an Employer.

Section 6. The Trustees, or representatives when authorized by the Trustees in each case, shall have the right to inspect at all reasonable times, the individual payroll records and such other records of an Employer as are deemed necessary and pertinent to determine whether such Employer is making due and full payment of its Employer Contributions.

Section 7. Failure of the Employer to comply with this Article or any part thereof may be treated by the Local as a breach of the working agreement between the Local and the defaulting Employer; and notwithstanding other provisions of this Agreement (Arbitration Article XVII, or otherwise to the contrary, immediate work stoppage and use of picket lines against such defaulting Employer are permitted. Any cost, inclusive of legal fees, incurred by the Local, or the Funds, in the collection of obligations to make payment due the Welfare, Pension, Annuity, Annuity & Savings, Cooperative Trust and Apprentice Program Funds shall be borne by the defaulting Employer.

Section 8. Notwithstanding any termination or cancellation under this Agreement or otherwise, the obligations of this Article and of the several Declarations of Trust shall be deemed continuous and the Health and Welfare Fund, Pension Fund, Annuity Fund, Annuity & Savings Fund, Cooperative Trust and Apprentice Program Fund shall not be discontinued pending negotiations of a new Agreement.

Section 9. The Health & Welfare, Pension, Annuity, Annuity & Savings, Cooperative Trust and Apprentice Program Funds shall be respectively administered by three (3) Trustees appointed and/or elected by the Local and three (3) Trustees appointed by the Association (unless it shall be mutually agreed to decrease the number of Trustees or to consolidate the Welfare Fund, Pension Fund, Annuity Fund, Annuity & Savings Fund, Cooperative Trust and Apprentice Program Fund with the Funds respective of other similar Funds) under one or more Agreements and Declarations of Trust as they are or shall be executed by such Trustees.

Section 10. The Welfare Fund shall be used for the purpose of providing health and welfare benefits for employees covered by this Agreement and their dependents by means of insurance or otherwise at the discretion of the Trustees.

Section 11. The Pension Fund shall be used for the purpose of providing pension benefits for employees covered by this Agreement by means of insurance or otherwise at the discretion of the Trustees.

Section 12. The Annuity Fund shall be used for the purpose of providing pension benefits for employees covered by this Agreement by means of Annuity contracts or otherwise at the discretion of the Trustees.

Section 13. The Annuity & Savings 401(k) Fund shall be used to assist the members in attaining their savings objectives.

Section 14. The Apprentice Program Fund shall be used for the purpose of providing and defraying costs of apprenticeship or other training programs.

Section 15. The Cooperative Trust shall be used for the purposes set forth in the Trust Agreement establishing said Trust.

Section 16. An Employer shall have the option of contributing or not contributing to the Joint Labor/ Management Cooperative Trust and/or the Foundation For Fair Contracting of Massachusetts as provided herein. In the event the Employer opts not to contribute to either or both of the above named Funds, the amount of such contribution shall be added to the hourly wage rates contained herein. Each signatory Association may exercise this option for its members; non-association Employers shall exercise this option on an individual basis.

Section 17. From time to time the Building Committee, established to provide for increased industry requirements, shall determine what additional Employer contributions, if any, are required to keep the Apprentice Program Fund fiscally sound.

Part Two - Article XII LIABILITY INSURANCE

Each Employer shall, without cost to the employee, provide Liability Insurance coverage in the amount of \$300,000 to \$500,000 for all employees covered by this Agreement.

Part Two - Article XIII OVERLOADED OR IMPROPERLY REGISTERED VEHICLES

Section 1. No employee shall be held responsible for equipment not properly registered or because a permit was not obtained under any applicable law or regulation or for overloading a vehicle or for operating a vehicle or other equipment which is overloaded. In any such case, the Employer will assume the legal costs involved in the defense of the employee and shall pay any fines or other assessments levied against the employee. The Employer shall reimburse the employee for any working time lost in connection with any such proceeding.

Section 2. In the event the employee's operating license is suspended for reasons stated herein, the Employer shall be liable for the work opportunity lost at no less than his regular earnings.

Part Two - Article XXIII TERMINATION

The terms and conditions of the Agreements between the Labor Relations Division - Construction Industries of Massachusetts, the Foundation & Marine Contractors Association of New England, Inc., the Building Trades Employers Association of Boston and Eastern Massachusetts, the Labor Relations Division of the Associated General Contractors of Massachusetts, Inc., and the International Union of Operating Engineers Local 4 and its Branches, effective June 1, 1999, shall continue to and include May 31, 2003. These Agreements shall continue to be effective from year to year thereafter unless either party to an Agreement, at least sixty (60) days prior to May 31, 2003, or prior to May 31st in any year thereafter gives notice in writing to the other party of its intention to terminate said Agreement and request that negotiations be entered into for its alteration or amendment.

In the event that the parties hereto cannot reach an agreement at least thirty (30) days prior to May 31st of any year, such party shall give notice of the failure to reach such agreement to the Federal Mediation Service and the Massachusetts Board of Conciliation and Arbitration.

FOR THE EMPLOYERS

The Labor Relations Division Construction Industries of Massachusetts, Inc.

The Foundation & Marine Contractors Association of New England, Inc.

> The Building Trades Employers Association of Boston and. Eastern Massachusetts, Inc.

The Labor Relations Division Associated General Contractors of Massachusetts, Inc.

FOR THE UNION

William P. Ryan, Business Manager

Business Representatives

Paul J. Hayes

Robert W. Leatherbee

John C. Panaro

Louis G. Rasetta

Kevin N. Ryan

Norman A. Teed

Stephen L. Wyman

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INTERNATIONAL UNION of OPERATING ENGINEERS - LOCAL #4 Wage Settlement June 1, 1999 - May 31, 2003

WAGE SCHEDULE EFFECTIVE 12/01/99

MASSACHUSETTS

	Classification GROUP I Daily Rate (Per Hour)	6/1/99* \$26.77 29.96	12/1/99* \$27.01 30.29	\$27.79 31.16	\$28.53 32.00	6/1/01* \$29.41 32.99	\$30.29 33.97	\$31.27 35.07	\$32.25 36.17
73	GROUP Is (Boom length) Over 150 feet Over 185 feet Over 210 feet Over 250 feet Over 295 feet Over 350 feet GROUP Ib		\$ 1.21 2.12 2.97 4.51 6.24 7.27 \$30.29	\$ 1.24 2.18 3.06 4.64 6.42 7.48 \$31.16	\$ 1.28 2.24 3.14 4.77 6.60 7.69 \$32.00	\$ 1.32 2.31 3.24 4.91 6.81 7.93 \$32.99	\$ 1.35 2.38 3.34 5.06 7.01 8.16 \$33.97	\$ 1.40 2.45 3.45 5.23 7.24 8.43 \$35.07	\$ 1.44 2.53 3.55 5.39 7.46 8.69 \$36.17
	GROUP IC GROUP II GROUP III	\$27.77 \$26.60 \$21.11	\$28.01 \$26.83 \$21.19	\$28.79 \$27.61 \$21.80	\$29.53 \$28.34 \$22.38	\$30.41 \$29.21 \$23.07	\$31.29 \$30.09 \$23.76	\$32.27 \$31.06 \$24.52	\$33.25 \$32.04 \$25.29

Joint Labor/Management Cooperation Trust:	oi Wage & Benefits	of Wage & Benefits	& Benefits	& Benefits				
Foundation for Fair Contracting	0.02 Add 2%	Add 2% of Wage	Add 2% of Wage					
Apprenticeship Annuity	2.50	3.00	3.00	3.00	3.00	3.00	3.00 0.02	3.00 0.02
Pension	0.30	0.30	0.30	0.35	0.35	0.35	0.35	0.35
Health & Welfare	3.82	3.82	3.82	3.82	3.82	3.82	3.82	3.82
Tunnel Differential	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00
GROUP III	\$ 1.77	\$ 1.82	\$ 1.87	\$ 1.92	\$ 1.98	\$ 2.04	\$ 2.11	\$ 2.18
GROUP II	\$ 1.44	\$ 1.48	\$ 1.52	\$ 1.57	\$ 1.62	\$ 1.66	\$ 1.72	\$ 1.77
Daily Rate Differential	\$ 2.28	\$ 2.35	\$ 2,42	\$ 2.48	\$ 2.56	\$ 2.64	\$ 2.72	\$ 2.81
Canne Race	20.91	20.98	21.59	22.15	22.07	25.72	27.20	27.0.
d. Daily Rate (TC)	20.99	21.07	21.67	22.24	22.93 22.83	23.52	24.28	25.04
c TC or Gradali	19.42	19.46	20.02	20.54	21.17	21.81 23.61	24.38	25.14
b. Other than TC/Gradall	17.84	17.83	18.34	18.82	19.40	19.98	20.62 22.51	23.22
GROUP IV	\$23.69	\$23.85	\$24.54	\$25.18	\$25.96	\$26.74	\$27.60	\$28.47 21.27
Classification	6/1/99	12/1/99*	6/1/00*	12/1/00*	6/1/01*	12/1/01*	6/1/02*	12/1/02*

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OTHER: Dues Assessment: 13/4% deducted from total Wage and Benefit Package; Social and Political Action Committee, 5% per hour.

^{*}The Local may, at its option, utilize parts of these increments for increases to Health & Welfare, Pension, Annuity, Social and Political Action Committees, Dues Deduction, and the Foundation for Fair Contracting.

Crusher Plants on site

Derrick Boats

Elevating Graders

Front End Loaders

Hoisting Engines

Elevator Towers

Derricks

Draglines

Fork Lifts

Gradalls

Hoists

Lighters

GROUP I**

Alpine Miners Asphalt Plant on site Augers & Lo-Drills Backhoes (Excavators) Bobcats when used as Front End Loaders Boring Machines Cable Ways Cement Concrete Pavers

7 Cherry Pickers Concrete Batching and/or Mixing Plants on site

Daily Rate: (per hour), Same as Group 1b.

Truck Cranes Fork Lifts Cherry Pickers

Combination Hoe/Loader (Case 580 type machine)

**See Attached Schedule of Wages

Mechanical Hoist Pavement Breakers Micro-Tunneling Boring Machines Mucking Machines Paving Concrete Mixers Pile Drivers Post Hole Diggers Post Hole Hammers Power Shovels Pumperete Machines

Road Headers Rotary Drills

Shaft Hoists Shovel Dozers Shovels

Soil Mix Machines Steam Engines Three Drum Machines Timber Jacks Trench Hoes

Trenching Machines

Truck Cranes Two or more Drum Machines Uke Loaders Waste Water Plants

GROUP Is (Boom Lengths)

Hourly added wages for boom lengths (including jib)

Over 150 feet Over 185 feet Over 210 feet

See Attached Schedule of Wages

Over 250 feet Over 295 feet Over 350 feet

These rates are applicable to cranes on roof or similar elevated positions. Engineers receiving any of the above wage rates shall be paid such rate for the full payroll period, even though the boom is shortened. (See Part One, Article VII, Sections 11, and 12).

GROUP Ib**

Master Mechanics Foreman Mechanics

GROUP Ic**

Conventional (cable controlled) Clam Shell and Slurry Buckets Heading Mechanics

and the state of t

**See Attached Schedule of Wages

GROUP II**

Asphalt Pavers
Ballast Regulators
Bobcats when used as Bulldozers
Bulldozers
Cal Tracks
Cold Planers
Concrete Mixers with side loaders
Concrete Pumps
Directional Drilling Machines
Canders
Graders
Graders
Grinders
Grout Pumps

Locomotives or machines used in place thereof
Mechanics, Maintenance
Mulching Machines
Paving Concrete Finishing Machines
Paving Streed Machines
Portable Steam Boilers
Portable Steam Generators
Rail Anchor Machines
Reclaimers
Rollers
Scrapers
Sonic or Vibratory Hammers

Spreaders
Stationary Steam Boilers
Swinger Engines
Switch Tampers
Tampers, Self-propelled or
Tractor Drawn
Tandem Scrapers
Tire Trucks (when operated by
Employer on job site)
Track Mounted, Self-Unloading
Material Movers (with dozer blade)
Tractors
York Rakes

**See Attached Schedule of Wages

GROUP III**

John Henrys

Augers-Powered by
independent engines and
attached to Pile Drivers
Compressors
Concrete Mixers
Concrete Vibrators
Conveyors
Generators

Heaters (power driven) (1-5) Hydraulic Saws Industrial Fork Lifts/ Chisel Trucks (hoisting not over 9 feet) Jackson Type Tampers Lighting Plants Pump(s) (1-3 grouped) Single Diaphragm Pumps
Syphons-Pulsometers
Track Mounted, Self-Unloading
Material Movers
Valves Controlling Permanent Plant
Air Steam
Welding Machines(s) (1-3 grouped)
Wellpoint Systems (operating)

**See Attached Schedule of Wages

Case 1:04-cv-12463-MLW

Document 1-4

Filed 11/22/2004

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GROUP IV** Assistant Engineer

a. Assistant Engineer:

Fireman

Apprentices (other than Truck Cranes or Gradalls)

b. Assistant Engineer: c. Assistant Engineer: Apprentices (on Truck Cranes or Gradalls)

d. Assistant Engineer:

Daily Rate (Truck Cranes)

e. Scow Rate

**See Attached Schedule of Wages

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- · Machines grouped shall be within 200 feet.
- Any machine not expressly mentioned in the schedule shall be included in the appropriate grouping.
- High Speed Electric Conveyors, (See Part One 1, Article VII, Section 3.
- HELICOPTERS: Whenever a helicopter is used in hoisting and lifting, it shall be manned by a member of the Local at a rate to be established, if and when such type of operation is instituted.
- HAZARDOUS WASTE: Engineers required to work in the HOT ZONE will receive a \$2.00 an hour wage differential.

The terms and conditions of this Agreement between the LABO RELATIONS DIVISION—CONSTRUCTION INDUSTRIES 0 MASSACHUSETTS, and the International Union of Operating Eng neers Local 4 and its Branches, effective June 1, 1999, were negot ated to terminate on May 31, 2003.

The parties to this Agreement have agreed, and the Local Union membership at a special Notified Meeting on November 20, 200 approved, a two year extension of the terms and conditions of the Contract, together with the schedule of wages appended to this at nature page. The Agreement shall now continue to and include Mi 31, 2005.

This Agreement shall continue to be effective from year to ye thereafter unless either party, at least sixty (60) days prior to May 3 2005, or prior to May 31st in any year thereafter gives notice in wr. ing to the other party of its intention to terminate said Agreeme and request that negotiations be entered into for its alteration amendment.

In the event that the parties hereto cannot reach an agreement least thirty (30) days prior to May 31st of any year, such party she give notice of the failure to reach such agreement to the Feder Mediation Service and the Massachusetts Board of Conciliation as Arbitration.

WITNESS TO CONTRACT EXTENSION

IN WITNESS WHEREOF, the parties bereto bave caused these presents to tigned by their duly antiborized representatives, and have affixed bereto the seal. their respective organizations, this twenty-fifth day of November, 2002.

FOR THE LABOR RELATIONS DIVISION CONSTRUCTION INDUSTRIES OF MASSACHUSETTS

FOR THE UNION

JOHN D. O'REILLY, III By: COUNSEL Tirle: November 26, 2002

Business Manager LOUIS G. RASETTA President

WILLIAM P. RYAN

NORMAN A. TEED Recording Secretary Date: November 25, 2002

Date:

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CONTRACT EXTENSION TERMINATION

The terms and conditions of this Agreement between the FOUN-DATION & MARINE CONTRACTORS ASSOCIATION OF NEW ENGLAND, and the International Union of Operating Engineers Local 4 and its Branches, effective June 1, 1999, were negotiated to terminate on May 31, 2003.

The parties to this Agreement have agreed, and the Local Union's membership at a special Notified Meeting on November 20, 2002, approved, a two year extension of the terms and conditions of this Contract, together with the schedule of wages appended to this signature page. The Agreement shall now continue to and include May 31, 2005.

This Agreement shall continue to be effective from year to year This Agreement shall continue to be effective from year to year thereafter unless either party, at least sixty (60) days prior to May 31, 2005, or prior to May 31st in any year thereafter gives notice in writing to the other party of its intention to terminate said Agreement and request that negotiations be entered into for its alteration or amendment.

amendment.

In the event that the parties hereto cannot reach an agreement at least thirty (30) days prior to May 31st of any year, such party shall give notice of the failure to reach such agreement to the Federal Mediation Service and the Massachusetts Board of Conciliation and

WITNESS TO CONTRACT EXTENSION

IN WITNESS WHEREOF, the parties hereto have caused these presents to be signed by their duly authorized representatives, and have affixed hereto the seals of their respective organizations, this twenty-fifth day of November, 2002.

FOUNDATION & MARINE CONTRACTORS ASSOCIATION OF NEW ENGLAND

FOR THE UNION

P.A. O'NEILL

PRESIDENT

November 27, 2002

Date:

WILLIAM P. RYAN

Business Manager LOUIS G. RASETTA

President

NORMAN A. TEED

Recording Secretary

November 25, 2002

Date:

CONTRACT EXTENSION

TERMINATION

The terms and conditions of this Agreement between the LABOR RELATIONS DIVISION OF THE ASSOCIATED GENERAL CONTRACTORS OF MASSACHUSETTS, and the International Union of Operating Engineers Local 4 and its Branches, effective June 1, 1999, were negotiated to terminate on May 31, 2003.

The parties to this Agreement have agreed, and the Local Union's membership at a special Notified Meeting on November 20, 2002, approved, a two year extension of the terms and conditions of this Contract, together with the schedule of wages appended to this signature page. The Agreement shall now continue to and include May 31, 2005.

This Agreement shall continue to be effective from year to year This Agreement shall continue to be effective from year to year thereafter unless either party, at least sixty (60) days prior to May 31, 2005, or prior to May 31st in any year thereafter gives notice in writing to the other party of its intention to terminate said Agreement and request that negotiations be entered into for its alteration or

In the event that the parties hereto cannot reach an agreement at least thirty (30) days prior to May 31st of any year, such party shall give notice of the failure to reach such agreement to the Federal Mediation Service and the Massachusetts Board of Conciliation and Arbitration.

WITNESS TO CONTRACT EXTENSION

IN WITNESS WHEREOF, the parties bereto have caused these presents to be signed by their duly authorized representatives, and have affixed hereto the seals of their respective organizations, this twenty-fifth day of November, 2002.

FOR THE LABOR RELATIONS
DIVISION OF THE ASSOCIATED GENERAL CONTRACTORS OF MASSACHUSETTS

DAVID P. POWELL

DIRECTOR OF LABOR

RELATIONS

November 26, 2002

WILLIAM P. RYAN

FOR THE UNION

Business Manager

LOUIS G. RASETTA

President

NORMAN A. TEED

Recording Secretary

November 25, 2002

Date:

CONTRACT EXTENSION TERMINATION

The terms and conditions of this Agreement between the BUILD-ING TRADES EMPLOYERS ASSOCIATION OF BOSTON AND EASTERN MASSACHUSETTS, and the International Union of Operating Engineers Local 4 and its Branches, effective June 1, 1999, were negotiated to terminate on May 31, 2003.

negotiated to terminate on May 31, 2003.

The parties to this Agreement have agreed, and the Local Union's membership at a special Notified Meeting on November 20, 2002, approved, a two year extension of the terms and conditions of this Contract, together with the schedule of wages appended to this signature page. The Agreement shall now continue to and include May 31, 2005.

31, 2005.

This Agreement shall continue to be effective from year to year thereafter unless either party, at least sixty (60) days prior to May 31, thereafter unless either party, at least sixty (60) days prior to May 31, 2005, or prior to May 31st in any year thereafter gives notice in writing to the other party of its intention to terminate said Agreement and request that negotiations be entered into for its alteration or amendment.

amendment.

In the event that the parties hereto cannot reach an agreement at least thirty (30) days prior to May 31st of any year, such party shall give notice of the failure to reach such agreement to the Federal Mediation Service and the Massachusetts Board of Conciliation and

WITNESS TO CONTRACT EXTENSION Arbitration.

IN WITNESS WHEREOF, the parties hereto have caused these presents to be signed by their duly authorized representatives, and have affixed hereto the seals of their respective organizations, this twenty-fifth day of November, 2002. FOR THE UNION

FOR THE BUILDING TRADES EMPLOYERS ASSOCIATION OF BOSTON AND EASTERN MASSACHUSETTS

THOMAS J. GUNNING

By:

EXECUTIVE DIRECTOR

Title:

November 26, 2002

Date:

WILLIAM P. RYAN

Business Manager

LOUIS G. RASETTA

President

NORMAN A. TEED

Recording Secretary

November 25, 2002

Date:

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INTERNATIONAL UNION of OPERATING ENGINEERS - LOCAL #4

IN TERMINO	Wage Sertlement Ex	neion	To May 3	1, 2005		
		120102	6/1/03	12/1/03*	6/1/04*	12/1/04*
Classification	<u>6/1/02</u>	12/1/02		\$33.14	\$34.31	\$35.49
Classincation	\$30.57	\$31.12	\$32.20		38.64	39.96
GROUP I	34.37	35.04	36.25	37.32	96.04	27.70
Daily Rate (Per Hour)						e 1 66
CROUP Is (Boom sengus)	\$ 1.40.	\$ 1.44	\$ 1.49	\$ 1.54	\$ 1.59	\$ 1.64
Ower 150 feet	2.45	2.53	2.61	2.70	2.79	2.88
Over 185 feet	3.45	3.55	3.67	3.79	3.92	4.05
Oner 210:1551	5.23	5.39	5.57	5.75	5.95	6.14
Over 250 feet	7.24	7.46	7.71	7.96	8.23	8.51
Over 295 feet	8.43	8.69	8.98	9.27	9.59	9.90
ω Over 350 feet	\$34.37	\$35.04	\$36.25	\$37.32	\$38.64	\$39.96
GROUP Ib			\$33.20	\$34.14	\$35.31	\$36.49
GROUP Ic	\$31.57	\$32.12		\$32.91	\$34.07	\$35.24
GROUP 20	\$30.36	\$30.91	\$31.98			\$27.56
GROUP II	\$23.82	\$24.16	\$25.01	\$25.72	\$26.63	#21.50
GROUP III	¥-¥ ·				****	\$51.18
GROUP IV	\$26.90	\$27.34	\$28.29	\$29.11	\$30.14	22.98
<u></u>	19.92	20.14	20.85	21 <i>.</i> 43	22.20	
L Other than 10 Gradan	21.81	22.09	22.87	23.50	24.35	25.20
- TC or (TGO#11	23.68	24.01	24.85	25.56	26.47	27.39
d. Daily Rate (10)	23.58	23.91	24.75	25.45	26. 3 6	27.27
e. Scow Rate	23.70					

Health & Welfare Pension Apprenticeship Annuity Foundation for Fair Contracting	4.75 3.82 0.30 3.00 0.02 Add 2% of Wage 8 Benefits	4.75 4.25 0.30 3.00 0.02 Add 2% of Wage 8 Benefits	4.75 4.25 0.30 3.00 0.02 Add 2% of Wage & Benefits	4.40 0.30 3.00 ().02 add 2% of Wage 8 Benefits	4.40 0.30 3.00 (),02 Add 2% of Wage & Benefits	4,40 0,30 3,00 0,02 Add 2% of Wage 8 Benefits
Classification Daily Rate Differential GROUP 11 GROUP III Tunnel Differential	\$2.72 \$1.72 \$2.11 4.75	\$2.81 \$1.77 \$2.18 4.75	\$2.90 \$1.83 \$2.25 4.75	\$3.00 \$1.89 \$2.32 4.75	\$3.10 \$1.95 \$2.40 4.75 4.40	\$3.20 \$2.02 \$2.48 4.75 4.40

OTHER: Dues Assessment: 11/4% deducted from total Wage and Benefit Package; Social and Political Action Committees, \$0.05 per hour.

^{*}The Local may, at its option, utilize parts of these increments for increases to Health & Welfare, Pension, Annuity, Social and Political Action Committees, Dues Deduction, and the Foundation for Fair Contracting.

^{*}HAZARDOUS WASTE: Engineers required to work in the HOT ZONE will receive a \$2.00 un hour differential.

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* Also Admitted to the New Hampshire Bar September 2, 2004

BY CERTIFIED MAIL, RETURN RECEIPT REQUESTED AND FIRST CLASS MAIL

Harold H. Penney, Jr., President Penney Construction Corp. 85 Charles Street Bridgewater, MA 02324

Re: International Union of Operating Engineers Local 4 Trust Funds

Dear Ms. Penney:

This office represents the I.U.O.E. Local 4 Trust Funds for purposes of collecting delinquent fringe benefit contributions. I have been advised that Penney owes the Funds \$17,023.15 in unpaid contributions and interest for work performed between August, 2003 and January, 2004. This letter constitutes a demand for payment of these delinquent contributions and interest.

Please be advised that failure to pay will result in litigation in which case Penney will be liable for liquidated damages and attorney's fees in addition to the contributions and interest.

Thanking you in advance for your prompt attention to this matter, I am

Very truly yours,

Inve RSC

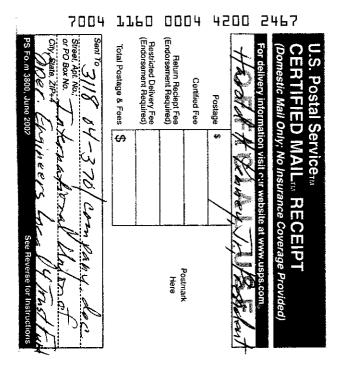
Anne R. Sills

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ARS/ars&yr 3118 04-370/company.doc

^{**}Also Admitted to the California Bar



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 Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired. Print your name and address on the reverse so that we can return the card to you. Attach this card to the back of the malipiece, or on the front if space permits. 	A. Signeture X. Loss Agent Addressee B. Received by (Printed Name) C. Date of Delivery			
1. Article Addressed to: Harold H. Penney, Jr., President Penney Construction Corp. 85 Charles Street	D. Is delivery address different from item 1? ☐ Yes If YES, enter delivery address below: ☐ No			
Bridgewater, MA 02324	3. Service Type Certified Mail Registered Insured Mail C.O.D. 4. Restricted Delivery? (Extra Fee)			
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